



Growing Communities and Returns™

NEW MEXICO COMMUNITY CAPITAL CONFLICT OF INTEREST STATEMENT

No officer or employee of New Mexico Community Capital or any of its affiliated entities (“NMCC”) shall derive any personal profit or gain, directly or indirectly, by reason of his or her employment with the NMCC other than through the stated compensation for such services as reflected in an agreement between the parties. Each individual shall disclose to NMCC any personal interest which he or she may have in any matter pending before the NMCC and shall refrain from participation in any decision on such matter. Each individual shall also refrain from obtaining any list of contributors to NMCC or of its clients for personal or private solicitation purposes at any time during the term of their affiliation.

At this time, other than as listed immediately hereunder, I am not a customer or vendor of NMCC nor am I a Director, Officer or an employee of any organization other than:

I also certify that, except as described below, I am not now nor at any time during the past year have been:

- 1) A participant, directly or indirectly, in any arrangement, agreement, investment, or other activity with any vendor, supplier, or other party; doing business with NMCC which has resulted or could result in personal benefit to me.
- 2) A recipient, directly or indirectly, of any salary payments or loans or gifts of any kind or any free service or discounts or other fees from or on behalf of any person or organization engaged in any transaction with NMCC.

Any exceptions to 1 or 2 above are listed immediately hereunder:

Signature

Date

Print or type name